



Electronic Filing System (EFS) Data

Electronic Patent Application Submission

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EFS ID: 58640
Application ID: 09970078
Title of Invention: METHODS AND SYSTEMS FOR
MANAGING A PORTFOLIO OF
SECURITIES
First Named Inventor: John Bunda
Domestic/Foreign Application: Domestic Application
Filing Date: 2001-10-03
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Confirmation number: 8120
Attorney Docket Number: 5226-02200

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Electronic Version v1.1
Stylesheet Version v1.1.0

Title of Invention	METHODS AND SYSTEMS FOR MANAGING A PORTFOLIO OF SECURITIES	
Application Number:	09/970078	
Date:	2001-10-03	
First Named Applicant:	John Bunda	
Confirmation Number:	8120	
Attorney Docket Number:	5226-02200	
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Submitted by:	Elec. Sign.	Sign. Capacity
Eric B Meyertons Registered Number: 34876	Eric B Meyertons	Attorney
Documents being submitted us-ids	Files 5226-Feb2004-usidst.xml us-ids.dtd us-ids.xsl	
Comments		



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	METHODS AND SYSTEMS FOR MANAGING A PORTFOLIO OF SECURITIES
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Application Number: 09/970078



Confirmation Number: 8120

First Named Applicant: John Bunda

Attorney Docket Number: 5226-02200

Art Unit: 2163

Examiner: Unknown Unknown

Search string: (5809483 or 5946666 or 6401105 or 6408282
or 6510419 or 20020188548 or 20020178099 or
20020194111 or 20020194110 or 20030083974
or 20030041006).pn.

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5809483	1998-09-15	Broka et al.			
	2	5946666	1999-08-31	Nevo et al.			
	3	6401105	2002-06-04	Carlin et al.			
	4	6408282	2002-06-18	Buist			
	5	6510419	2003-01-21	Gatto			

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20020188548	2002-09-15	Bunda	A1		
	2	20020178099	2002-11-28	Young	A1		
	3	20020194111	2002-12-19	Young	A1		
	4	20020194110	2002-12-19	Young	A1		
	5	20030083974	2003-05-01	Bunda	A1		

6	20030041006	2003-02-27	Bunda	A1
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Signature

Examiner Name	Date